



## WEYL TYPE THEOREMS FOR CLASS $A(k)$ OPERATORS

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### ABSTRACT

If  $T$  is a class  $A(k)$  operator where  $k \geq 1$  and  $\hat{T}$  is its hyponormal transform, then generalized Weyl's theorem is proved for  $T$  via  $\hat{T}$ .

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### 1. INTRODUCTION:

Let  $H$  be a complex Hilbert space and  $B(H)$  the algebra of all bounded linear operators on  $H$ . For an operator  $T \in B(H)$ , let  $T^*$ ,  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_{ap}(T)$  denote the adjoint, spectrum, point spectrum and approximate point spectrum of  $T$ , respectively. We denote by  $\alpha(T)$  and  $\beta(T)$  the dimension of the kernel  $\ker T$  and the codimension of the range  $R(T)$ , respectively. The operator  $T \in B(H)$  is called an upper semi-Fredholm operator if  $\alpha(T) < \infty$  and  $T(X)$  is closed, while  $T \in B(H)$  is called lower semi-Fredholm if  $\beta(T) < \infty$ . If  $T$  is either upper or lower semi-Fredholm then  $T$  is called a semi-Fredholm operator, while  $T$  is said to be a Fredholm operator if it is both upper and lower semi-Fredholm.

We denote by  $\phi_+(H)$  the class of all upper semi-Fredholm operators, by  $\phi_-(H)$  the class of all lower semi-Fredholm operators, and by  $\phi(H)$  the class of all Fredholm operators. If  $T \in B(H)$  is semi-Fredholm, then the index of  $T$  is defined by  $\text{ind}(T) = \alpha(T) - \beta(T)$ .

The ascent of  $T$  is defined as the smallest non-negative integer  $p := p(T)$  such that  $N(T^p) = N(T^{p+1})$ . If such an integer does not exist we put  $p(T) = \infty$ . Analogously, the descent of  $T$  is defined as the smallest nonnegative integer  $q := q(T)$  such that  $R(T^q) = R(T^{q+1})$  and if such an integer does not exist we put  $q(T) = \infty$ .

An operator  $T \in B(H)$  is called a Weyl operator if it is a Fredholm operator of index 0, and  $T \in B(H)$  is called a Browder if it is a Fredholm operator of finite ascent and descent.

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The essential spectrum  $\sigma_e(T)$ , the Weyl spectrum  $\sigma_w(T)$  and the Browder spectrum  $\sigma_b(T)$  of  $T$  are defined as

$$\sigma_e(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not Fredholm} \},$$

$$\sigma_w(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not Weyl} \},$$

$$\sigma_b(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not Browder} \}.$$

We say that Weyl's theorem holds for  $T$  if

$$\sigma(T) \setminus \sigma_w(T) = E_0(T),$$

where  $E_0(T)$  is the set of all isolated points of  $\sigma(T)$  which are eigen values of finite multiplicity. Let

$p_{00}(T) = \sigma(T) \setminus \sigma_b(T)$  denote the set of Riesz points of  $T$ .  $T$  is said to satisfy Browder's theorem if

$$\sigma(T) \setminus \sigma_w(T) = p_{00}(T).$$

The essential approximate point spectrum is

$$\sigma_{ea}(T) = \bigcap \{ \sigma_a(T + K) : K \in K(H) \}$$

and the Browder essential point spectrum is

$$\sigma_{ab}(T) = \bigcap \{ \sigma_a(T + K) : TK = KT, K \in K(H) \}.$$

It is well known that  $\sigma_{ea}(T) = \{ \lambda \in \mathbb{C} : T - \lambda \notin \phi_+^-(H) \}$ , where

$$\phi_+^-(H) = \{ T \in \phi_+(H) : \text{ind}(T) \leq 0 \}.$$

We say that a-Weyl's theorem holds for  $T \in B(H)$  if  $\sigma_a(T) \setminus \sigma_{ea}(T) = E_0^a(T)$ , where  $E_0^a(T)$  is the set of all eigen values of  $T$  of finite multiplicity which are isolated in  $\sigma_a(T)$ .

For a bounded linear operator  $T$  and a nonnegative integer  $n$  we define  $T_n$  to be the restriction of  $T$  to  $R(T^n)$  viewed as a map from  $R(T^n)$  into itself (in particular  $T_0 = T$ ). If for some integer  $n$ , the range space  $R(T^n)$  is closed and  $T_n$  is an upper (resp., a lower) semi-Fredholm operator, then  $T$  is called an upper (resp., a lower) semi B-Fredholm operator. In this situation,  $T_m$  is a semi-Fredholm operator and  $\text{ind}(T_m) = \text{ind}(T_n)$  for each  $m \geq n$  [8, proposition 2.1]. Thus the index of a semi-B-Fredholm operator  $T$  is the index of the semi-Fredholm operator  $T_n$  where  $n$  is any integer such that  $R(T^n)$  is closed and  $T_n$  is a semi-Fredholm operator. Moreover, if  $T_n$  is a Fredholm operator, then  $T$  is called a B-Fredholm operator. A semi-B-Fredholm operator is an upper or a lower semi-B-Fredholm operator.

An operator  $T \in B(H)$  is called a B-Weyl operator if it is a B-Fredholm operator of index 0. The B-Weyl spectrum  $\sigma_{BW}(T)$  of  $T$  is defined as

$$\sigma_{BW}(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not a B-Weyl operator} \}.$$

We say that generalized Weyl's theorem holds for  $T$  if

$$\sigma(T) \setminus \sigma_{BW}(T) = E(T),$$

where  $E(T)$  is the set of isolated eigen values of  $T$  ([7], Definition 2.13).

Let  $SBF_+(H)$  be the class of all upper semi-B-Fredholm operators on  $H$ , and  $SBF_+^-(H)$  the class of all  $T \in SBF_+(H)$  such that  $\text{ind}(T) \leq 0$ . Also let

$$\sigma_{SBF_+^-}(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not in } SBF_+^-(H) \}.$$

We say that  $T$  obeys generalized a-Weyl's theorem if  $\sigma_a(T) \setminus \sigma_{SBF_+}(T) = E^a(T)$ , where  $E^a(T)$  is the set of all eigenvalues of  $T$  which are isolated in  $\sigma_a(T)$  [7, Definition 2.13].

The operator  $T \in B(H)$  is said to have the single valued extension property at  $\lambda_0 \in \mathbb{C}$  (abbreviated as SVEP at  $\lambda_0 \in \mathbb{C}$ ), if for every open disc  $U$  of  $\lambda_0$  the only analytic function  $f: U \rightarrow H$  which satisfies the equation  $(T - \lambda I) f(\lambda) = 0$  for all  $\lambda \in U$ , is the function  $f \equiv 0$ .

An operator  $T \in B(H)$  is said to have SVEP if  $T$  has SVEP at every point  $\lambda \in \mathbb{C}$ . It is known that an operator  $T \in B(H)$  has SVEP at every point of the resolvent  $\rho(T) = \mathbb{C} \setminus \sigma(T)$ . Also every operator  $T$  has SVEP at every isolated point of the spectrum. An operator is called isoloid if each  $\lambda \in \text{iso}\sigma(T)$  is an eigenvalue of  $T$ .

## 2. GENERALIZED WEYL'S THEOREM FOR CLASS $A(k)$ OPERATORS:

An operator  $T$  has a unique polar decomposition  $T = U|T|$ , where  $|T| = (T^*T)^{\frac{1}{2}}$  and  $U$  is the suitable partial isometry satisfying  $\ker U = \ker T = \ker(|T|)$  and  $\ker(U^*) = \ker(T^*)$ .

Furuta et al. [10] defined a new class of operators, namely class  $A(k)$  where  $k > 0$ .  $T$  belongs to class  $A(k)$  if  $(T^*|T|^{2k}T)^{\frac{1}{k+1}} \geq |T|^2$  where  $k > 0$ . A class  $A(1)$  operator  $T$  is known as a class  $A$  operator and satisfies an operator inequality  $|T|^2 \geq |T|^2$ .

Mary and Panayappan [13, 14] studied the properties of  $A(k)$  operators using its hyponormal transform  $\hat{T}$ . Using those properties we prove generalized Weyl's theorem for class  $A(k)$  operators via its hyponormal transform  $\hat{T}$ .

**Limit Condition 2.1:** [13], For each  $\lambda \in \sigma_a(T)$  and a corresponding

sequence  $\{y_n\}$  of unit vectors,  $\hat{T}$  satisfies the condition  $\lim_{n \rightarrow \infty} \|\hat{T}^2 y_n\| = |\lambda|^2$  where  $T$  is a class  $A(k)$  operator,  $k > 1$  and  $\hat{T}$  is its hyponormal operator transform.

**Proposition 2.2:** [14, Lemma 5]: If  $T$  is a class  $A(k)$  operator, where  $k > 1$  and  $M$  is an invariant subspace of  $T$ , then  $T|_M$  is also a class  $A(k)$  operator.

**Proposition 2.3:** [14, Lemma 6]: Suppose  $T$  is a class  $A(k)$  operator and  $\hat{T}$  its hyponormal transform such that the limit condition is satisfied. Then the Eigen space of  $T$  reduces  $T$ .

**Proposition 2.4:** [14, Lemma 7]: If  $T$  is a class  $A(k)$  operator satisfying the Limit condition, then  $T$  is isoloid.

**Proposition 2.5:** [13, Corollary 10]: Every quasinilpotent class  $A(k)$  operator satisfying limit condition is a zero operator.

Our first result is as follows:

**Theorem 2.6:** Let  $T \in B(H)$  be a class  $A(k)$  operator and  $\hat{T}$  its hyponormal operator transform such that limit condition is satisfied. Then  $\sigma_{ea}(f(T)) = f(\sigma_{ea}(T))$  for every  $f \in H(\sigma(T))$ .

**Proof:** Let  $f \in H(\sigma(T))$ . It suffices to show that  $f(\sigma_{ea}(T)) \subseteq \sigma_{ea}(f(T))$ .

Suppose that  $\lambda \notin \sigma_{ea}(f(T))$ . Then  $f(T) - \lambda I \in \phi_+(H)$  and  $i(f(T) - \lambda I) \leq 0$  and

$$f(T) - \lambda I = c(T - \alpha_1 I)(T - \alpha_2 I) \dots (T - \alpha_n I)g(T), \dots \quad (1)$$

where  $c, \alpha_1, \alpha_2, \dots, \alpha_n \in \mathbb{C}$  and  $g(T)$  is invertible. Since the operators on the right hand side of (2.1) commute, therefore  $T - \alpha_i I \in \phi_+(H)$  for each  $i = 1, 2, \dots, n$ . Since  $T$  has SVEP [13, Theorem 11], it follows from [2, Theorem 2.6] that each  $T - \alpha_i$  has finite ascent. Therefore by [1, Theorem 3.4]  $i(T - \alpha_i) \leq 0$  for each  $i = 1, 2, \dots, n$ . It follows that  $\lambda \notin f(\sigma_{ea}(T))$ .

**Theorem 2.7:** Let  $T \in B(H)$  be a class  $A(k)$  operator and  $\hat{T}$  its hyponormal operator transform such that limit condition is satisfied. Then a sufficient condition for  $f(T)$  to satisfy a-Weyl's theorem for every  $f \in H(\sigma(T))$  is that  $T^*$  has SVEP.

**Proof:** If  $T^*$  has SVEP, then  $\sigma(T) = \sigma_a(T)$ . Hence  $\sigma_{ea}(T) = \sigma_w(T)$  and  $E_0^a(T) = E_0(T)$ . As we know

$T$  satisfies Weyl's theorem [14, Theorem 2], therefore  $T$  satisfies a-Weyl's theorem. Since  $T$  is isoloid and  $\sigma_{ea}(T)$  satisfies Theorem 2.6, therefore  $f(T)$  satisfies a-Weyl's theorem.

**Theorem 2.8:** Let  $T$  be a class  $A(k)$  operator and  $\hat{T}$  its hyponormal operator transform such that for each  $\lambda \in \sigma_a(T)$  and a corresponding sequence  $\{y_n\}$  of unit vectors,  $\hat{T}$  satisfies the condition  $\lim_{n \rightarrow \infty} \|\hat{T}^2 y_n\| = \|\lambda\|^2$ . Then generalized Weyl's theorem holds for  $f(T)$  for every  $f \in H(\sigma(T))$ .

**Proof:** Since  $T$  is isoloid and has SVEP [13, Theorem 11], therefore it suffices to prove that generalized Weyl's theorem holds for  $T$ .

Let  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Then  $T - \lambda I$  is a B-Fredholm operator of index zero. Hence it follows from [5, Lemma 4.1] that there exist two closed linear spaces  $M$  and  $N$  of  $H$  such that  $H = M \oplus N$  and  $T - \lambda I = U \oplus V$  with  $U = (T - \lambda I)|_M$  a Fredholm operator of index zero and  $V = (T - \lambda I)|_N$  a nilpotent operator. Let  $S = T|_M$  and  $I_M = I|_M$ .

Since  $T$  is class  $A(k)$  operator, then by Proposition 2.2,  $S$  is also class  $A(k)$  operator and  $S - \lambda I_M = U$  is a Fredholm operator of index zero.

If  $\lambda \in \sigma(S)$ , then by [14, Theorem 2], we have  $\sigma_w(S) = \sigma(S) \setminus E_0(S)$ . As  $S - \lambda I_M$  is Fredholm operator of index zero, we have  $\lambda \in E_0(S)$ . In particular  $\lambda$  is isolated in  $\sigma(S)$ . 0 is isolated in  $\sigma(S - \lambda I_M) = \sigma(U)$ .

Since  $T - \lambda I = U \oplus V = (S - \lambda I_M) \oplus V$ , and  $V$  is a nilpotent operator then  $\sigma(U) \setminus \{0\} = \sigma(T - \lambda I) \setminus \{0\}$ .

Therefore 0 is isolated in  $\sigma(T - \lambda I)$  or equivalently  $\lambda$  is isolated in  $\sigma(T)$ . As  $\lambda \in E_0(S)$ , then  $\lambda \in E(T)$ .

If  $\lambda \notin \sigma(S)$ , then we also deduce from  $T - \lambda I = (S - \lambda I_M) \oplus V$ , that  $\lambda$  is isolated in  $\sigma(T)$ . Since  $T - \lambda I$  is not invertible and hence  $\lambda \in E(T)$ .

Conversely, if  $\lambda \in E(T)$ , then  $\lambda$  is isolated in  $\sigma(T)$ . From [12, Theorem 7.1] we have  $H = M \oplus N$  where  $M$  and  $N$  are closed linear subspace of  $H$ ,  $U = (T - \lambda I)|_M$  is an invertible operator and  $V = (T - \lambda I)|_N$  is a quasinilpotent operator. Since  $T$  is class  $A(k)$  operator, then  $V$  is also class  $A(k)$  operator. As  $V$  is

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 quasiniptent operator, from Proposition 2.5, we have  $V = 0$ . Since  $V$  is invertible it follows from [5, Lemma4.1]  $T - \lambda I$  is a B-Fredholm operator of index zero.

**Remark 2.9:** The inclusion  $\sigma(T) \setminus \sigma_{BW}(T) \subset E(T)$  in the above result can also be proved as follows:

**Proof:** Assume  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Then  $T - \lambda I$  is B-Weyl and not invertible. We claim that  $\lambda \in \partial\sigma(T)$ . Assume to the contrary that  $\lambda$  is an interior point of  $\sigma(T)$ . Then there exists a neighbourhood  $U$  of  $\lambda$  such that  $\dim N(T - \mu I) > 0$  for all  $\mu \in U$ . It follows from [9, Theorem 10] that  $T$  does not have SVEP. On the other hand, since  $T$  is class  $A(k)$  operator, it follows from [13, Theorem11] that  $T$  has SVEP, which is a contradiction. Therefore  $\lambda \in \partial\sigma(T) \setminus \sigma_{BW}(T)$  and it follows from the punctured neighbourhood theorem that  $\lambda \in E(T)$ .

**Corollary 2.10:** Let  $T \in B(H)$  be a class  $A(k)$  operator and  $\hat{T}$  its hyponormal operator transform such that limit condition is satisfied. If  $\sigma(T)$  has no isolated points then  $T^*$  satisfies generalized Weyl's theorem.

**Proof:** We know  $\sigma(T^*) = \overline{\sigma(T)}$ ,  $\sigma_{BW}(T^*) = \overline{\sigma_{BW}(T)}$  and  $E_0(T^*) = \overline{E_0(T)} = \emptyset$ . and from Theorem 2.8 generalized Weyl's theorem holds for  $T$ . Therefore we have that  $\sigma(T^*) \setminus \sigma_{BW}(T^*) = E_0(T^*)$ .

The following corollary is an immediate consequence of the above Theorem and [6, Proposition 3.6]:

**Corollary 2.11:** Let  $T \in B(H)$  be a class  $A(k)$  operator and  $\hat{T}$  its hyponormal operator transform such that limit condition is satisfied. Let  $F$  be a finite rank nilpotent operator commuting with  $T$ . Then generalized Weyl's theorem holds for  $f(T) + F$  for every  $f \in H(\sigma(T))$ .

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