# International Journal of Mathematical Archive-6(12), 2015, 57-61 MA Available online through www.ijma.info ISSN 2229 - 5046

# NEW SUB-CLASSES OF BI-UNIVALENT FUNCTIONS DEFINED USING THE CONVOLUTION STRUCTURE

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(Received On: 02-12-15; Revised & Accepted On: 28-12-15)

#### **ABSTRACT**

In the present paper, we introduce and study a new subclass of analytic bi-univalent functions defined in the open unit disc using convolution. We determine estimates of the general Taylor-Maclaurin coefficients of the functions in this class subject to certain gap series as well as providing bounds for coefficients  $|a_2|$  and  $|a_3|$ . For this purpose, we use the Faber polynomial approach. Also connections to earlier well-known results are briefly indicated.

Mathematics Subject Classification: 30C45.

Keywords: Bi-univalent functions; Convolution, Faber polynomials, Taylor-Maclaurin series expansion.

#### 1. INTRODUCTION AND DEFINITIONS

Let A denote the class of functions of the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$
 (1.1)

which are analytic in the open unit disc  $U = \{z : z \in C \text{ and } |z| < 1\}$  and satisfy the normalization conditions f(0) = f'(0) = 1.

Let S be the class of A consisting of the functions of the form (1.1) which are also univalent in U. It is well known that every function  $f \in S$  has an inverse  $f^{-1}$ , which is defined by

$$f^{-1}(f(z)) = z(z \in U)$$
 and  $f(f^{-1}(w)) = w$  for  $|w| < 1/4$ , according to Koebe one quarter theorem[14].

A function  $f(z) \in A$  is said to be bi-univalent in U if both f(z) and  $f^{-1}(z)$  are univalent in U. Le $\Sigma$  denote the class of all bi-univalent functions in U given by the Taylor-Maclaurin series expansion (1.1).

In 1967, Lewin [12] first investigated the bi-univalent function class  $\Sigma$  and showed that  $|a_2| < 1.51$ . Subsequently, Brannan and clunie [3] conjectured that  $|a_2| \le \sqrt{2}$ . However Netanyahu [4] showed that  $\max_{f \in \Sigma} |a_2| = \frac{4}{3}$ . Also, Ali *et.al* [15] remarked that finding the bounds for  $|a_n|$  when  $n \ge 4$  is an open problem. This is because the bi-univalency condition imposed on the functions  $f(z) \in A$  makes the behaviour of their coefficients unpredictable.

Recently, several researchers such as ([1, 2, 9, 16, 20]) obtained the coefficients  $|a_2|$  and  $|a_3|$  of bi-univalent functions for the various subclasses of the function class  $\Sigma$ .

S.G.Hamidi and J.M.Jahangiri [10] used Faber polynomial coefficient for finding the estimates on the coefficient bounds for the classes of bi-univalent functions. These bounds prove to be better than those estimates provided by Srivastava *et al* [9] and Frasin and Aouf [2]. Motivated by their work, we have used Faber polynomial approach to obtain the coefficient estimates of our new subclass of bi-univalent functions.

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The object of the present paper is to introduce a new subclass of the function class  $\Sigma$  and use the Faber polynomial approach to determine estimates for the general coefficient bounds. We also obtain estimates for the first two coefficients  $|a_2|$  and  $|a_3|$  of these functions.

**Definition 1.1:** Given a real  $\alpha$  ( $0 \le \alpha < 1$ ),  $\lambda \ge 1$  and functions  $\phi(z) = z + \sum_{n=2}^{\infty} \phi_n z^n$  and  $\psi(z) = z + \sum_{n=2}^{\infty} \psi_n z^n$ , analytic

in U , such that  $\phi_n \ge 0$  ,  $\psi_n \ge 0$  , we say that  $f(z) \in \Sigma$  is in  $H_{\Sigma}(\phi, \psi; \alpha, \lambda)$  if

$$\operatorname{Re}\left\{\frac{(1-\lambda)(f*\phi)(z)+\lambda(f*\psi)(z)}{z}\right\}>\alpha\ \text{ for all } z\in U.$$

**Remark 1.1:** The class  $H_{\Sigma}(\phi, \psi; \alpha, \lambda)$ , for suitable choices of  $\phi$  and  $\psi$  lead to the following known classes of analytic bi-univalent functions studied earlier in the literature.

i) For  $\phi(z) = h(z) = z + \sum_{n=2}^{\infty} b_n z^n$  and  $\psi(z) = \frac{z}{(1-z)^2} * h(z)$  we obtain the class  $Q_{\lambda}(h,\alpha)$  defined and studied by

ii) For  $\phi(z) = z + \sum_{n=2}^{\infty} n^{\delta} z^n$  and  $\psi(z) = z + \sum_{n=2}^{\infty} (n)^{\delta+1} z^n$  we obtain the bi-univalent function class  $Q(\delta, \lambda, \alpha)$  studied by Saurabh Porwal, M.Darus[17].

iii) If we choose  $\phi(z) = \left(z + \sum_{n=2}^{\infty} n^{\delta} z^{n}\right) * \frac{z}{(1-z)^{\delta+1}}$  and  $\psi(z) = \left(z + \sum_{n=2}^{\infty} (n)^{\delta+1} z^{n}\right) * \frac{z}{(1-z)^{\delta+1}}$  we obtain the subclass  $Q(n,\delta,\alpha,\lambda)$  studied by A.G.Alamoush and M.Darus[1].

iv) For  $\phi(z) = \frac{z}{1-z}$  and  $\psi(z) = \frac{z}{(1-z)^2}$  we obtain the bi-univalent function class  $Q_{\lambda}(\alpha)$  introduced by Ding *et al* [18].

The estimates for the coefficients  $|a_2|$  and  $|a_3|$  for this class of functions were obtained by B.A.Frasin and M.K.Aouf [2] employing the techniques used earlier by Srivastava et al [9] and also by Jay.M.Jahangiri and Samaneh G.Hamidi[10] using Faber Polynomial expansions.

# 2. COEFFICIENT BOUNDS FOR THE CLASS $H_{\Sigma}(\phi,\psi;\alpha,\lambda)$

Using the Faber Polynomial expansion of functions  $f(z) \in A$  of the form (1.1), the coefficients of its inverse map  $g = f^{-1}$  may be expressed as [5],

$$g(w) = f^{-1}(w) = w + \sum_{n=2}^{\infty} \frac{1}{n} K_{n-1}^{-n}(a_2, a_3,...) w^n$$

where

$$\begin{split} K_{n-1}^{-n} &= \frac{\left(-n\right)!}{(-2n+1)!(n-1)!} a_2^{n-1} + \frac{(-n)!}{(2(-n+1))!(n-3)!} a_2^{n-3} a_3 + \frac{(-n)!}{(-2n+3)!(n-4)!} a_2^{n-4} a_4 \\ &+ \frac{(-n)!}{(2(-n+2))!(n-5)!} a_2^{n-5} \Big[ a_5 + (-n+2) a_3^2 \Big] + \frac{(-n)!}{(-2n+5)!(n-6)!} a_2^{n-6} \Big[ a_6 + (-2n+5) a_3 a_4 \Big] + \sum_{i \ge 7} a_2^{n-i} V_i \,, \end{split}$$

such that  $V_i$  with  $7 \le i \le n$  is a homogenous polynomial in the variables  $a_2, a_3, ... a_n[6]$ .

In particular, the first three terms of  $K_{n-1}^{-n}$  are [see, 5]

$$\frac{1}{2}K_1^{-2} = -a_2$$

$$\frac{1}{3}K_2^{-3} = 2a_2^2 - a_3$$

$$\frac{1}{4}K_3^{-4} = -(5a_2^3 - 5a_2a_3 + a_4)$$

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In general, for any  $p \in N$ , an expansion of  $K_n^p$  is as, [5, page183]

$$K_n^p = pa_n + \frac{p(p-1)}{2}D_n^2 + \frac{p!}{(p-3)!3!}D_n^3 + \dots + \frac{p!}{(p-n)!n!}D_n^n$$

 $D_n^p = D_n^p(a_2, a_3,...)$ 

and by [13] or [8],

$$D_n^m(a_1, a_2, ...a_n) = \sum_{m=1}^{\infty} \frac{m! (a_1)^{\mu_1} ... (a_n)^{\mu_n}}{\mu_1! ... \mu_n!}$$

while  $a_1=1$ , and the sum is taken over all non negative integers  $\mu_1,...,\mu_n$  satisfying

 $\mu_1 + \mu_2 + ... + \mu_n = m$ ,

$$\mu_1 + 2\mu_2 + ... + n\mu_n = n$$

It is clear that

$$D_n^n(a_1, a_2, ...a_n) = a_1^n$$
 [7].

**Theorem 2.1:** For  $(0 \le \alpha < 1)$  and  $\lambda \ge 1$  let  $f(z) \in H_{\Sigma}(\phi, \psi; \alpha, \lambda)$  and  $g(z) \in H_{\Sigma}(\phi, \psi; \alpha, \lambda)$ 

If 
$$a_k = 0$$
;  $2 \le k \le n-1$ , then  $|a_n| \le \frac{2(1-\alpha)}{(1-\lambda)\phi_n + \lambda\psi_n}$ ;  $n \ge 4$  (2.1)

**Proof:** For the function  $f(z) \in H_{\Sigma}(\phi, \psi, \alpha, \lambda)$  of the form (1.1) we have

$$\frac{(1-\lambda)(f*\phi)(z) + \lambda(f*\psi)(z)}{z} = 1 + \sum_{n=2}^{\infty} [(1-\lambda)\phi_n + \lambda\psi_n] a_n z^{n-1}$$
(2.2)

and for its inverse map,  $g = f^{-1}$ , we have

$$\frac{(1-\lambda)(g*\phi)(w) + \lambda(g*\psi)(w)}{w} = 1 + \sum_{n=2}^{\infty} [(1-\lambda)\phi_n + \lambda\psi_n]b_n w^{n-1}$$

$$= 1 + \sum_{n=2}^{\infty} [(1-\lambda)\phi_n + \lambda\psi_n] \times \frac{1}{n} K_{n-1}^{-n}(a_2, a_3, ..., a_n) w^{n-1}$$
(2.3)

On the other hand, since  $f(z) \in H_{\Sigma}(\phi, \psi; \alpha, \lambda)$  and  $g(z) = f^{-1}(z) \in H_{\Sigma}(\phi, \psi; \alpha, \lambda)$ , by definition, there exist two positive real part functions

$$p(z) = 1 + \sum_{n=1}^{\infty} c_n z^n$$

and 
$$q(w) = 1 + \sum_{n=1}^{\infty} d_n w^n$$

where  $Re\{p(z)\} > 0$  and  $Re\{q(w)\} > 0$  in U so that

$$\frac{(1-\lambda)(f*\phi)(z) + \lambda(f*\psi)(z)}{z} = 1 + (1-\alpha) \sum_{n=1}^{\infty} K_n^1(c_1, c_2, ..., c_n) z^n$$
(2.4)

$$\frac{(1-\lambda)(f*\phi)(z) + \lambda(f*\psi)(z)}{z} = 1 + (1-\alpha) \sum_{n=1}^{\infty} K_n^1(c_1, c_2, ..., c_n) z^n 
\frac{(1-\lambda)(f*\phi)(w) + \lambda(f*\psi)(w)}{w} = 1 + (1-\alpha) \sum_{n=1}^{\infty} K_n^1(d_1, d_2, ..., d_n) w^n$$
(2.4)

Comparing the corresponding coefficients of (2.2) and (2.4) yields

$$((1-\lambda)\phi_n + \lambda\psi_n)a_n = (1-\alpha)K_{n-1}^1(c_1, c_2, ..., c_{n-1})$$
 and similarly, from (2.3) and (2.5) we obtain

$$\frac{1}{n}((1-\lambda)\phi_n + \lambda\psi_n)K_{n-1}^{-n}(b_0, b_1, ..., b_n) = (1-\alpha)K_{n-1}^1(d_1, d_2, ..., d_{n-1})$$
(2.7)

Note that for  $a_k = 0$ ;  $2 \le k \le n-1$  we have  $b_n = -a_n$  and so

$$[(1-\lambda)\phi_n + \lambda\psi_n]a_n = (1-\alpha)c_{n-1},$$

$$-[(1-\lambda)\phi_n + \lambda\psi_n]a_n = (1-\alpha)d_{n-1}. \tag{2.8}$$

Taking the absolute values of the above equalities, we obtain

$$\left| a_{n} \right| = \frac{(1-\alpha) \mid c_{n-1} \mid}{\mid (1-\lambda)\phi_{n} + \lambda\psi_{n} \mid} = \frac{(1-\alpha) \mid d_{n-1} \mid}{\mid (1-\lambda)\phi_{n} \mid + \lambda\psi_{n} \mid}$$

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By applying the Caratheodory Lemma [14],  $(n \in N)$  we have,

$$\left| a_n \right| \le \frac{2(1-\alpha)}{(1-\lambda)\phi_n + \lambda\psi_n} \,. \tag{2.9}$$

**Theorem 2.2:** For  $(0 \le \alpha < 1)$  and  $\lambda \ge 1$  let  $f(z) \in H_{\Sigma}(\phi, \psi, \alpha, \lambda)$  and  $g(z) \in H_{\Sigma}(\phi, \psi, \alpha, \lambda)$ . Then one has the following

$$\mathrm{i)}\ \left|a_2\right| \leq \min\left\{\sqrt{\frac{2(1-\alpha)}{(1-\lambda)\phi_3+\lambda\psi_3}}, \frac{2(1-\alpha)}{(1-\lambda)\phi_2+\lambda\psi_2}\right\}$$

ii) 
$$|a_3| \le \frac{2(1-\alpha)}{(1-\lambda)\phi_3 + \lambda\psi_3}$$

iii) 
$$\left| a_3 - 2a_2^2 \right| \le \frac{2(1-\alpha)}{(1-\lambda)\phi_3 + \lambda\psi_3}$$
 (2.10)

**Proof:** If we set n = 2 and n = 3 in (3.6) and (3.7) respectively, we get

$$[(1-\lambda)\phi_2 + \lambda\psi_2]a_2 = (1-\alpha)c_1, \qquad (2.11)$$

$$[(1-\lambda)\phi_3 + \lambda\psi_3]a_3 = (1-\alpha)c_2, \tag{2.12}$$

$$-[(1-\lambda)\phi_2 + \lambda\psi_2]a_2 = (1-\alpha)d_1, \tag{2.13}$$

$$[(1-\lambda)\phi_3 + \lambda\psi_3](2a_2^2 - a_3) = (1-\alpha)d_2. \tag{2.14}$$

Dividing (2.11) or (2.13) by  $[(1-\lambda)\phi_2 + \lambda\psi_2]$ , taking their absolute values and applying the Cartheodory lemma [14], we have

$$|a_{2}| = \frac{(1-\alpha)|c_{1}|}{(1-\lambda)\phi_{2} + \lambda\psi_{2}} = \frac{(1-\alpha)|d_{1}|}{(1-\lambda)\phi_{2} + \lambda\psi_{2}}$$

$$\leq \frac{2(1-\alpha)}{(1-\lambda)\phi_{2} + \lambda\psi_{2}}$$
(2.15)

Adding (2.12) to (2.14) implies

$$[(1-\lambda)\varphi_3 + \lambda\psi_3](2a_2^2) = (1-\alpha)(c_2 + d_2)$$

$$a_2^2 = \frac{(1-\alpha)(c_2 + d_2)}{2[(1-\lambda)\phi_3 + \lambda\psi_3]}$$
 (2.16)

Using the caratheodory lemma [14], followed by taking the square roots yields

$$|a_2| = \sqrt{\frac{(1-\alpha)(|c_2| + |d_2|)}{2[(1-\lambda)\phi_3 + \lambda\psi_3]}} \le \sqrt{\frac{2(1-\alpha)}{(1-\lambda)\phi_3 + \lambda\psi_3}}$$

and combining this with the inequality (2.15) we obtain the desired estimate on the coefficient  $|a_2|$  as asserted in (2.10). Dividing (2.12) by  $[(1 - \lambda)\phi_3 + \lambda\psi_3]$ , taking the absolute value on both sides and applying the caratheodory lemma [14] yield

$$|a_3| = \frac{(1-\alpha)|c_2|}{(1-\lambda)\phi_3 + \lambda\psi_3} \le \frac{2(1-\alpha)}{(1-\lambda)\phi_3 + \lambda\psi_3}$$

Dividing (2.14) by  $(1-\lambda)\phi_3 + \lambda\psi_3$ , taking the absolute values on both sides and applying the caratheodory lemma[14], we obtain

$$\left| a_3 - 2a_2^2 \right| \le \frac{2(1-\alpha)}{(1-\lambda)\phi_3 + \lambda\psi_3}.$$

**Remark 2.1:** By taking special values in the above theorems for the functions  $\phi(z)$  and  $\psi(z)$ , as mentioned in Remark 1.1, we obtain the results due to R.M.El-Ashwah[16], Saurath Porwal and M.Darus[17], A.G.Alamoush and M.Darus[1], B.A.Frasin and M.K.Aouf[2], J.M.Jahangiri and G.Hamidi[10].

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# Source of support: Nil, Conflict of interest: None Declared

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