

ON q-k-EP MATRICES

K. GUNASEKARAN

Ramanujan Research centre,
 PG and Research Department of Mathematics,
 Government Arts College(Autonomous), Kumbakonam-612 002, Tamil Nadu, India.

K. GNANABALA*

Ramanujan Research centre,
 PG and Research Department of Mathematics,
 Government Arts College (Autonomous), Kumbakonam-612 002, Tamil Nadu, India.

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ABSTRACT

The concept of range quaternion k-EP (q-k-EP) matrices is introduced as a special case of quaternion hermitian and generalization of EP matrices. Necessary and sufficient conditions are determined for a matrix to be q-k-EP_r (q-k-EP and rank r). As an application, it is shown that the class of all q-k-EP matrices having the same range space form a group under multiplication.

Key words: Moore-Penrose Inverse, Quaternion matrix, Rank of matrix, Range hermitian k-EP matrices

1. INTRODUCTION

The algebra H of real quaternion, which is a four- dimensional non-commutative algebra over real number field R with canonical basis $1, i, j, k$ satisfying the conditions, $i^2 = j^2 = k^2 = ijk = -1$ that implies $ij = -ji = k, jk = -kj = i$ and $ki = -ik = j$.

The elements in H can be written in a unique way as, $\alpha = a + bi + cj + dk$, where a, b, c and d are real numbers, i.e., $H = \{ \alpha = a + bi + cj + dk \mid a, b, c, d \in R \}$.

The conjugate of α is defined as $\bar{\alpha} = a - bi - cj - dk$, and the norm $|\alpha| = \sqrt{\alpha\bar{\alpha}}$ for $0 \neq \alpha \in H$, $\alpha^{-1} = \frac{\bar{\alpha}}{|\alpha|^2}$.

We consider K is a permutation matrix associated with the permutation $k(x) = (S_n)$, where $S = \{1, 2, \dots, n\}$.

Also $K^2 = I, \bar{K} = K^T = K^* = K^{-1} = K$.

2. q-k-EP MATRICES

Definition: 2.1 Let $H[x]^{m \times n}$ denote the set of all $m \times n$ matrices with entries from $H[x]$. For $A \in H[x]^{m \times n}$, the conjugate $\bar{A} = \bar{A}_{ij}$. If $A = P + Qj$ with $P, Q \in H[x]^{m \times n}$, then $\chi_A = \begin{pmatrix} P & Q \\ -\bar{Q} & \bar{P} \end{pmatrix} \in C[x]^{2m \times 2n}$ denotes the complex adjoint of A .

Moreover, $A^T, A^* \in H[x]^{m \times n}$ denotes the transpose and the conjugate transpose of A , respectively.

Definition: 2.2 $A^\dagger \in H[x]^{n \times m}$ is called a Moore Penrose inverse of $A \in H[x]^{m \times n}$, if it is a solution of the following system of equations, $AXA = A, XAX = X, (AX)^* = AX, (XA)^* = XA$. Note that we require that A^\dagger must be in $H[x]^{n \times m}$.

**Corresponding Author: K. Gnanabala*, Ramanujan Research centre,
 PG and Research Department of Mathematics, Government Arts College (Autonomous),
 Kumbakonam-612 002, Tamil Nadu, India.**

Definition: 2.3 A matrix $A \in H[x]^{m \times n}$ is said to be q-k-EP if it satisfies the condition $Ax = 0 \Leftrightarrow A^*k(x) = 0$ or equivalently $N(A) = N(A^*K)$. Moreover, A is said to be k-EP_r, if it is k-EP and of rank r.

Definition: 2.4 A k-hermitian matrix A is q-k-EP, for if A is k-hermitian, then by [3, Result 2.1], $A = KA^*K$. Hence $N(A) = N(KA^*K) = N(A^*K)$, which implies A is q-k-EP. However, the converse need not be true.

Theorem: 2.5 For the following are equivalent:

- (1) A is q-k-EP
- (2) KA is EP
- (3) AK is EP
- (4) A^\dagger is q-k-EP
- (5) $N(A) = N(A^\dagger K)$
- (6) $N(A^*) = N(AK)$
- (7) $R(A) = R(KA^*)$
- (8) $R(A^*) = R(KA)$
- (9) $KA^\dagger K = AA^\dagger K$
- (10) $A^\dagger AK = KAA^\dagger$
- (11) $A = KA^*KH$ for a non singular nxn matrix H.
- (12) $A = HKA^*K$ for a non singular nxn matrix H.
- (13) $A^* = HKAK$ for a non singular nxn matrix H.
- (14) $A^* = KAKH$ for a non singular nxn matrix H.
- (15) $C_n = R(A) \oplus N(AK)$.
- (16) $C_n = R(KA) \oplus N(A)$.

Proof: The proof for the equivalence of (1), (2) and (3) runs as follows:

$$\begin{aligned}
 A \text{ is q-k-EP} &\Leftrightarrow N(A) = N(A^*K) && \text{(by Definition 2.3)} \\
 &\Leftrightarrow N(KA) = N(KA)^* && [\text{by (P.1)}] \\
 &\Leftrightarrow KA \text{ is EP} && \text{(by Definition of EP matrix)} \\
 &\Leftrightarrow K(KA)K^* \text{ is EP} && \text{(by [1, Lemma3])} \\
 &\Leftrightarrow AK \text{ is EP} && [\text{by (P.1)}]
 \end{aligned}$$

Thus (1) \Rightarrow (2) \Rightarrow (3) hold.

$$\begin{aligned}
 (2) \Leftrightarrow (4): KA \text{ is EP} &\Leftrightarrow (KA)^\dagger \text{ is EP} && \text{(by [2, P.163])} \\
 &\Leftrightarrow A^\dagger K \text{ is EP} && [\text{by (P.2)}] \\
 &\Leftrightarrow A^\dagger \text{ is q-k-EP} && [\text{by equivalence of (1) and (3) applied to } A^\dagger]
 \end{aligned}$$

Thus equivalence of (1) and (5) is proved.

Now we shall prove the equivalence of (1), (6) and (7) using $\rho(A) = \rho(A^*) = \rho(A^*K) = \rho(AK)$ in the following way:

$$\begin{aligned}
 A \text{ is q-k-EP} &\Leftrightarrow N(A) = N(A^*K) \\
 &\Leftrightarrow N(A) \subseteq N(A^*K) \\
 &\Leftrightarrow A^*K = A^*KA^-A && \text{(by [2, P.21])} \\
 &\Leftrightarrow A^* = A^*KA^-AK && \text{(by [P.1])} \\
 &\Leftrightarrow A^* = A^*K^{-1}A^-AK \\
 &\Leftrightarrow A^* = A^*(AK)^-AK && \text{(by [P.2])} \\
 &\Leftrightarrow N(AK) \subseteq N(A^*) && \text{(by [2, P.21])} \\
 &\Leftrightarrow N(A^*) = N(AK) \\
 &\Leftrightarrow R(A) = R(AK)^* \\
 &\Leftrightarrow R(A) = R(KA)^* && \text{(by [P.1])}
 \end{aligned}$$

Thus (1) \Rightarrow (6) \Rightarrow (7) holds.

(1) \Leftrightarrow (8):

$$\begin{aligned}
 A \text{ is q-k-EP} &\Leftrightarrow N(A) = N(A^*K) \\
 &\Leftrightarrow N(A) = N(KA)^* \\
 &\Leftrightarrow R(A^*) = R(KA)
 \end{aligned}$$

Thus equivalence of (1) and (8) is proved.

(3) \Leftrightarrow (9):

$$\begin{aligned} AK \text{ is EP} &\Leftrightarrow (AK)(AK)^\dagger = (AK)^\dagger(AK) && (\text{by [2, P.166]}) \\ &\Leftrightarrow (AK)(KA^\dagger) = (KA^\dagger)(AK) && (\text{by [P.2]}) \\ &\Leftrightarrow AA^\dagger = KA^\dagger AK && (\text{by [P.1]}) \\ &\Leftrightarrow AA^\dagger K = KA^\dagger A \end{aligned}$$

Thus equivalence of (3) and (9) is proved.

(9) \Leftrightarrow (10): Since by the property (P.1), $K^2 = I$, this equivalence follows by pre and post multiplying $KA^\dagger A = AA^\dagger K$ by K .

(2) \Leftrightarrow (11):

$$\begin{aligned} KA \text{ is EP} &\Leftrightarrow (KA)^* = (KA)H_1, \text{ for a non-singular nxn matrix } H_1 \text{ (by [2, P.166])} \\ &\Leftrightarrow A^*K = KAH_1 \\ &\Leftrightarrow KA^*K = AH_1 \\ &\Leftrightarrow A = KA^*KH \text{ where } H = H_1^{-1} \text{ is a non-singular nxn matrix.} \end{aligned}$$

Thus equivalence of (2) and (11) is proved.

(3) \Leftrightarrow (12):

$$\begin{aligned} AK \text{ is EP} &\Leftrightarrow (AK)^* = H_1(AK), \text{ for a non-singular nxn matrix } H_1 \text{ (by [2, P.166])} \\ &\Leftrightarrow KA^* = H_1AK \\ &\Leftrightarrow KA^*K = H_1A \\ &\Leftrightarrow A = H_1^{-1}KA^*K \\ &\Leftrightarrow A = HKA^*K \text{ where } H = H_1^{-1} \text{ is a non-singular nxn matrix.} \end{aligned}$$

Thus equivalence of (3) and (12) is proved.

The equivalences (11) \Leftrightarrow (13) and (12) \Leftrightarrow (14) follow immediately by taking conjugate transpose and using $K = K^*$.

(13) \Leftrightarrow (16): $A^* = HKAK$ for a non singular nxn matrix H .

$$\begin{aligned} &\Leftrightarrow A^*A = H(KA)(KA) \\ &\Leftrightarrow A^*A = H(KA)^2 \\ &\Leftrightarrow \rho(A^*A) = \rho(H(KA)^2) \\ &\Leftrightarrow \rho(A^*A) = \rho((KA)^2) \end{aligned}$$

Over the complex field, A^*A and A have the same rank.

$$\begin{aligned} \text{Therefore, } \rho((KA)^2) &= \rho(A^*A) = \rho(A) = \rho(KA) \Leftrightarrow R(KA) \cap N(KA) = \{0\} \\ &\Leftrightarrow R(KA) \cap N(A) = \{0\} \\ &\Leftrightarrow H_n = R(KA) \oplus N(A). \end{aligned}$$

Thus (13) \Leftrightarrow (16) holds.

(14) \Leftrightarrow (15): This can be proved along the lines and using $\rho(AA^*) = \rho(A)$. Hence the proof is omitted.

(16) \Leftrightarrow (1): If $H_n = R(KA) \oplus N(A)$, then $R(KA) \cap N(A) = \{0\}$.

$$\text{For } x \in N(A), x \notin R(KA) \Leftrightarrow x \in R(KA)^\perp = N(KA)^* = N(A^*K).$$

Hence $N(A) \subseteq N(A^*K)$ and $\rho(A) = \rho(A^*K) \Rightarrow N(A) = N(A^*K) \Rightarrow A$ is q-k-EP.

Thus (1) holds. Similarly, we can prove (15) \Rightarrow (1).

Remark: 2.6 [8] Let $A \in H[x]^{m \times n}$ and $B \in H[x]^{n \times l}$. Then

- (i) $(AB)^* = B^*A^*$ and $AA^* = (AA^*)^*$
- (ii) If A has a Moore- Penrose Inverse A^\dagger , then $(A^*)^\dagger = (A^\dagger)^*$, $A^\dagger(A^\dagger)^*A^* = A^\dagger = A^*(A^\dagger)^*A^\dagger$ and $A^\dagger AA^* = A^* = A^*AA^\dagger$
- (iii) If A has a Moore- Penrose Inverse A^\dagger , then A^\dagger is unique.
- (iv) Let A have the Moore- Penrose Inverse A^\dagger . If $U \in H[x]^{m \times m}$ is a unitary matrix, then $(UA)^\dagger = A^\dagger U^*$.

For $x = (x_1, x_2, \dots, x_n)^T \in H[x]^{n \times 1}$. Let us define the function $k(x) = (x_{k(1)}, x_{k(2)}, \dots, x_{k(n)})^T \in H_n$. Since k is involutory, it can be verified that the associated permutation matrix k satisfy the following properties:

$$K = K^T = K^{-1} \text{ and } k(x) = Kx, \quad (P.1)$$

$$(KA)^\dagger = A^\dagger K \text{ and } (AK)^\dagger = KA^\dagger \text{ for } A \in H[x]^{n \times n} \text{ (by [2, P.182])} \quad (P.2)$$

Theorem: 2.7 Let $A \in H[x]^{n \times n}$. Then any two of the following conditions imply the other one:

- (1) A is EP
- (2) A is q-k-EP
- (3) $R(A) = R(KA)$

Proof: First we prove that whenever (1) holds, then (2) and (3) are equivalent. Suppose (1) holds, then by [1, Theorem.1], A is EP implies $R(A) = R(A^*)$. Now by Theorem 2.5, A is q-k-EP $\Leftrightarrow R(A^*) = R(KA)$. Therefore, A is q-k-EP $\Leftrightarrow R(A) = R(KA)$.

This completes the proof of [(1) and (2)] \Rightarrow (3) and [(1) and (3)] \Rightarrow (2).

Now let us prove [(2) and (3)] \Rightarrow (1): Since A is q-k-EP, by Theorem 2.5, KA is EP. Hence, $R(KA) = R(KA)^*$. By using (3), we have $R(A) = R(KA) = R(KA)^* = R(A^*K) = R(A^*)$.

Again by [1, Theorem 1], A is EP. Thus (1) holds.

Note: 2.8 [8] Let $A \in H[x]^{m \times n}$ have the Moore- Penrose Inverse A^\dagger . Consider A has a homomorphism from $H[x]^{n \times 1}$ to $H[x]^{m \times 1}$. Then $\text{Image}(A) = \text{Image}(AA^*) = \text{Image}(AA^\dagger)$ and $\text{Image}(A^*) = \text{Image}(A^*A) = \text{Image}(A^\dagger A)$.

Lemma: 2.9 [8] If $E \in H[x]^{m \times m}$ is a symmetric projection, that is, $E = E^2 = E^*$, then $E \in H[x]^{m \times m}$.

Proof: Let f_1, f_2, \dots, f_m be the entries on the first row of E . From, $E = E^*$, we may assume that $f_1 = \bar{f}_1 \neq 0$. Then $E = E^2$ we have

$$f_1 = f_1 \bar{f}_1 + \sum_{i=2}^m f_i \bar{f}_i = f_1^2 + \sum_{i=2}^m f_i \bar{f}_i$$

Since $f_1 = \bar{f}_1$ the leading co-efficient of f_1^2 is a positive real number. Note that the leading co-efficient of $\sum_{i=2}^m f_i \bar{f}_i$ is also a positive real number. Thus,

$$\begin{aligned} \deg(f_1^2) &\geq \deg(f_1) = \deg\left(f_1^2 + \sum_{i=2}^m f_i \bar{f}_i\right) \\ &= \max\{\deg(f_1^2), \deg(\sum_{i=2}^m f_i \bar{f}_i)\} \geq \deg(f_1^2) \end{aligned}$$

This shows that $f_1 \in H$. Further more, $0 = \deg(f_1) = \deg(\sum_{i=2}^m f_i \bar{f}_i)$ and the leading co-efficient of $\{f_i \bar{f}_i\} \{f_i \neq 0\}$ are positive reals imply that $f_i \in H$ for all $1 \leq i \leq m$. The same discussions can be done for the other rows of E . Therefore, $E \in H[x]^{m \times m}$.

Lemma: 2.10 If $A \in H[x]^{n \times n}$ is normal and AA^* is q-k-EP, Then A is q-k-EP.

Proof: Since A is normal, A is EP and AA^* is q-k-EP $\Leftrightarrow R(AA^*) = R(KAA^*)$ implies $R(A) = R(KA)$. That A is q-k-EP Then follows from Theorem 2.7.

Lemma: 2.11 Let $E = E^* = E^2 \in H[x]^{n \times n}$ be a hermitian idempotent that commutes with k , the permutation matrix associated with a fixed product of disjoint transpositions k is S_n . Then, $H_k(E) = \{A: A \text{ is q-k-EP and } R(A) = R(E)\}$ and forms a maximal subgroup of $H_{n \times n}$ containing E as identity.

Proof: Since $EK = KE$, by (P.1) and (P.2) we have $E = KEK$ and $EE^\dagger = E^2 = E = (KE)(EK) = (KE)(KE)^\dagger$;

Hence $R(E) = R(KE)$.

Since E is hermitian it is automatically EP. And by Theorem 2.7, E is K-EP and $R(A) = R(E) = R(KE) \Rightarrow [AA^\dagger = EE^\dagger = E]$ also $A^\dagger = E = (KE)(KE)^\dagger = KEE^\dagger K^\dagger = KAA^\dagger K^\dagger = (KA)(KA)^\dagger$.

Therefore $R(A) = R(KA)$. Hence by Theorem 2.7, A is EP and $H_k(E) = H(E) = \{A: A \text{ is EP and } R(A) = R(E)\}$.

By [5, Theorem 2.1], $H_k(E)$ forms a maximal subgroup $H[x]^{n \times n}$ containing E as identity.

3. EIGEN VALUES

Definition: 3.1 $A \in H[x]^{n \times n}$ is hermitian, that is $A = A^*$, if and only if there exists a unitary matrix $U \in H[x]^{n \times n}$ such that $U^*AU = \text{diag}(d_1, d_2, \dots, d_n)$, where d_i are the eigen values of A .

Lemma: 3.2 For $A \in H[x]^{n \times n}$, A is k-EP $\Leftrightarrow N(A) \subset N(P)$, where P is k-hermitian part of A .

Proof: If A is k-EP, then by Theorem 2.5, KA is EP.

Since K is non-singular, $N(A) = N(KA) = N(KA)^* = N(A^*K) = N(KA^*K)$.

Then for $x \in N(A)$, both $Ax = 0$ and $KA^*Kx = 0$, which implies that $Px = \frac{1}{2}(A + KA^*K)x = 0$. Thus $N(A) \subseteq N(P)$. Conversely, $N(A) \subseteq N(P)$; Then $Ax=0$ implies $Px=0$ and hence $Qx=0$. Therefore, $N(A) \subseteq N(Q)$.

Thus $N(A) \subseteq N(P) \cap N(Q)$.

Since both P and Q are k-hermitian, and by [3, Result 2.1],

We have, $P=KP^*K$ and $Q=KQ^*K$.

Hence $N(P) = N(KP^*K) = N(P^*K)$ and $N(Q) = N(KQ^*K) = N(Q^*K)$.

Now $N(A) \subseteq N(P) \cap N(Q) = N(P^*K) \cap N(Q^*K) \subseteq N(P^* - iQ^*)K$.

Therefore, $N(A) \subseteq N(A^*K)$ and $\rho(A) = \rho(A^*K)$.

Hence, $N(A) = N(A^*K)$. Therefore, A is q-k-EP. Hence the theorem.

Lemma: 3.3 [8] Let $A \in H[x]^{m \times n}$. Then A has the Moore-Penrose inverse A^\dagger if and only if $A = U \begin{bmatrix} A_1 & A_2 \\ 0 & 0 \end{bmatrix}$ with $U \in H^{m \times m}$ unitary and $A_1 A_1^* + A_2 A_2^*$, a unit in $H[x]^{r \times r}$ with $r \leq \min\{m, n\}$.

Moreover, $A^\dagger = \begin{pmatrix} A_1^*(A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \\ A_2^*(A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \end{pmatrix} U^*$

Proof: If A has the Moore-Penrose Inverse A^\dagger , then $AA^\dagger = AA^\dagger AA^\dagger = (AA^\dagger)^2 = (AA^\dagger)^*$.

By Lemma 2.9, $AA^\dagger \in H^{m \times m}$. AA^\dagger is hermitian and hence, by Lemma 3.2, there exists a unitary matrix $U \in H^{m \times m}$ such that $U^*AA^\dagger U = D$, where D is diagonal. Since, $D^2 = (U^*AA^\dagger U)(U^*AA^\dagger U) = U^*AA^\dagger AA^\dagger U = U^*AA^\dagger U = D$, the diagonal entries of D are either 1 or 0. Therefore, we can rearrange the rows of U so that $D = \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix}$ with $r \leq \min\{m, n\}$.

Set $A' = U^*A$. By Lemma 2.6, A' has its own generalized inverse A'^\dagger and $A'A'^\dagger = \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix}$. Set $A' = \begin{bmatrix} A_1 & A_2 \\ A_3 & A_4 \end{bmatrix}$, for arbitrary quaternion polynomial matrices $A_1 \in H[x]^{r \times r}$, $A_2 \in H[x]^{r \times (n-r)}$, $A_3 \in H[x]^{(m-r) \times r}$ and $A_4 \in H[x]^{(m-r) \times (n-r)}$. Since $A' = A'A'^\dagger A' = \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} A_1 & A_2 \\ A_3 & A_4 \end{bmatrix} = \begin{bmatrix} A_1 & A_2 \\ 0 & 0 \end{bmatrix}$, we must have $A' = \begin{bmatrix} A_1 & A_2 \\ 0 & 0 \end{bmatrix}$ and therefore $A'A'^* = \begin{bmatrix} A_1 A_1^* + A_2 A_2^* & 0 \\ 0 & 0 \end{bmatrix}$

Similarly, $A'^\dagger = \begin{bmatrix} B_1 & 0 \\ B_2 & 0 \end{bmatrix}$, for some B_1 and B_2 .

By Lemma 2.8, $\text{Image}(A'A'^*) = \text{Image}(A') = \text{Image}(A'A'^\dagger A') = \text{Image}\begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix}$.

This implies the surjectivity of $A_1 A_1^* + A_2 A_2^*$ on $H[x]^{r \times r}$.

Therefore $A_1 A_1^* + A_2 A_2^*$ is a unit in $H[x]^{r \times r}$ and $A = UA' = U \begin{bmatrix} A_1 & A_2 \\ 0 & 0 \end{bmatrix}$

Next we have that,

$$\begin{aligned} A'^{\dagger} &= A'^{\dagger} (A'^{\dagger})^* A'^* = A'^{\dagger} (A'^*)^{\dagger} A'^* = A'^* (A' A'^*)^{\dagger} \\ &= \begin{bmatrix} A_1^* & 0 \\ A_2^* & 0 \end{bmatrix} \begin{bmatrix} (A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \\ 0 & 0 \end{bmatrix} \\ &= \begin{bmatrix} A_1^* (A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \\ A_2^* (A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \end{bmatrix}, \end{aligned}$$

which gives $A^{\dagger} = \begin{bmatrix} A_1^* (A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \\ A_2^* (A_1 A_1^* + A_2 A_2^*)^{-1} & 0 \end{bmatrix} U^*$. The converse can be proved by direct computation.

Lemma: 3.4 Let $B = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$, Δ is an rxr non-singular matrix. Then the following are equivalent.

1. B is k-EP_r
2. $R(KB) = R(B)$.
3. BB^* is k-EP_r
4. $K = \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix}$, where K_1, K_2 are permutation matrices of order r and $n - r$ respectively.
5. $K = K_1 K_2$, where K_1 is the product of disjoint transpositions on $S_n = \{1, 2, \dots, n\}$ leaving $(r+1, r+2, \dots, n)$ fixed, and K_2 is the product of the disjoint transpositions leaving $(1, 2, \dots, r)$ fixed.

Proof: Since B is EP_r, the equivalence of (1) and (2) follows from Theorem 2.7.

(2) \Leftrightarrow (3): follows from Theorem 2.5.

(2) \Leftrightarrow (4): Let us partition, $K = \begin{bmatrix} K_1 & K_3 \\ K_3^T & K_2 \end{bmatrix}$, Where K_1 is rxr.

$$\begin{aligned} \text{Then } R(KB) &= R(B) \Leftrightarrow (KB)(KB)^{\dagger} = BB^{\dagger} \\ &\Leftrightarrow KBB^{\dagger}K = BB^{\dagger} \\ &\Leftrightarrow KBB^{\dagger} = BB^{\dagger}K \\ &\Leftrightarrow K \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} I_r & 0 \\ 0 & 0 \end{bmatrix} K \\ &\Leftrightarrow \begin{bmatrix} K_1 & 0 \\ K_3^T & 0 \end{bmatrix} = \begin{bmatrix} K_1 & K_3 \\ 0 & 0 \end{bmatrix} \\ &\Leftrightarrow \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix} = K \end{aligned}$$

Thus equivalence of (2) and (4) holds. The equivalence of (4) and (5) is clear from the definition of K .

Lemma: 3.5 A matrix $A \in H[x]^{n \times n}$ is q-k-EP_r if and only if there exists a unitary matrix U and an rxr nonsingular matrix F such that $A = KU \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix} U^*$.

Proof: Let us assume that A is q-k-EP_r. Then by Theorem 2.5, $H_n = R(KA) \oplus N(A)$. Choose an orthonormal basis $\{x_1, x_2, \dots, x_n\}$ of $R(KA) = R(A^*)$, and extend it to a basis $\{x_1, x_2, \dots, x_r, x_{r+1}, \dots, x_n\}$ of H_n where $\{x_{r+1}, \dots, x_n\}$ is an orthonormal basis of $N(A)$.

If (u, v) denotes the usual inner product on H_n and $1 \leq i \leq r < j \leq n$ it follows that $x_i \in R(KA) = R(A^*) \Rightarrow x_i A^* y$.

Therefore, $(x_i, x_j) = (A^* y, x_j) = (y, Ax_j) = 0$ [Since $x_j \in N(A)$]. Hence $\{x_1, x_2, \dots, x_n\}$ is an orthonormal basis of H_n . If we consider KA as the matrix of a linear transformation relative to any orthonormal basis of H_n , then $U^* KAU = \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix}$, Where F is rxr nonsingular matrix, whence $A = KU \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix} U^*$.

Conversely, if $A = KU \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix} U^*$, $U^* KAU = \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix}$.

But $N(KA) = N(KA)^*$, which implies KA is EP_r, and by Theorem 2.5, A is q-k-EP_r.

Lemma: 3.6 Let $A \in H_{n \times n}$, Then A is q-k-EP_r with $K = K_1 K_2$ (where K_1 and K_2 are as in Lemma 3.4) if and only if A is Unitarily q-k-similar to a diagonal block q-k-EP_r matrix $B = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$ where D is an $r \times r$ non-singular matrix.

Proof: Since A is q-k-EP_r by Lemma 3.5, there exists a unitary matrix U and an $r \times r$ non singular matrix F such that $A = (KUK)K \begin{bmatrix} F & 0 \\ 0 & 0 \end{bmatrix} U^*$.

Since $K = K_1 K_2$, the associated permutation matrix is $K = \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix}$.

Hence, $A = (KUK)K \begin{bmatrix} K_1 F & 0 \\ 0 & 0 \end{bmatrix} U^* = (KUK) \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix} U^*$, where $D = K_1 F$.

Thus, A is Unitarily q-k-similar to a diagonal block q-k-EP_r matrix $B = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$ where D is an $r \times r$ non-singular matrix.

Now, That B is q-k-EP_r follows from Theorem 3.4, $K = K_1 K_2$ and $K = \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix}$

Since, A is Unitarily q-k-similar to $B = \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix}$, there exists a unitary matrix U such that $A = KUK \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix} U^*$. Since B is q-k-EP_r,

By Theorem 2.5, $KB = K \begin{bmatrix} D & 0 \\ 0 & 0 \end{bmatrix} = U^* KAU$ is EP_r.

By [1, Lemma 2], KA is EP_r. Now, A is q-k-EP follows from Theorem 2.5 and $\rho(A) = r$. Hence A is q-k-EP_r. The proof is complete.

Lemma: 3.7 Let $A \in H[x]^{n \times n}$. Then eigen values of AA^* are real.

Proof: Let $B = AA^*$ and $\lambda \in H$ be an eigen value of B with corresponding eigen vector $X = (x_1, x_2, \dots, x_m)^T \neq 0$ such that $BX = X\lambda$. Then $X^*BX = X^*X\lambda$.

Note that $B = B^*$. We have that $X^*BX = \lambda^* X^*X$.

Thus, $X^*X\lambda = \lambda^* X^*X = (X^*X\lambda)^*$.

$$\begin{aligned} (\bar{x}_1, \bar{x}_2, \dots, \bar{x}_m) \begin{pmatrix} x_1 \\ \vdots \\ x_m \end{pmatrix} \lambda &= (\sum \bar{x}_i x_i) \lambda \\ &= ((\sum \bar{x}_i x_i) \lambda)^* \\ &= \lambda^* (\sum \bar{x}_i x_i)^* \\ &= \lambda^* (\sum \bar{x}_i x_i). \end{aligned}$$

By a known lemma, $0 \neq \sum \bar{x}_i x_i \in R[x]$.

The above equation gives $\lambda = \lambda^*$ which implies $\lambda \in R$.

Lemma: 3.8 If A is k-EP, then (λ, x) is a (k-eigen value, k-eigen vector) pair for A if and only if $(1/\lambda, k(x))$ is a (k-eigen value, k-eigen vector) pair for A^\dagger .

Proof: (λ, x) is a (k-eigen value, k-eigen vector) pair for A

$$\begin{aligned} &\Leftrightarrow Ax = \lambda kx && \text{(by [3, P.22])} \\ &\Leftrightarrow KAx = \lambda x && \text{(by P.1)} \\ &\Leftrightarrow (KA)^\dagger x = \frac{1}{\lambda} x && \text{(by [2, P.161])} \\ &\Leftrightarrow A^\dagger Kx = \frac{1}{\lambda} x && \text{(by P.2)} \\ &\Leftrightarrow A^\dagger k(x) = \frac{1}{\lambda} K(k(x)) \\ &\Leftrightarrow (1/\lambda, k(x)) \text{ is a (k-eigen value, k-eigen vector) pair for } A^\dagger. \end{aligned}$$

Definition: 3.9 For $A \in H[x]^{m \times n}$, let $B = AA^*$ and χ_B be its complex adjoint. Then $f_B(\lambda) = \det(\lambda I_{2m} - \chi_B)$ is called the characteristic polynomial of A .

Lemma: 3.10 Let $A \in H[x]^{m \times n}$ and $B=AA^*$. Then $f_B(\lambda) = g(\lambda)^2$ where $g(\lambda) \in (R[x])[\lambda]$

Proof: We first show that $f_B(\lambda) \in (R[x])[\lambda]$. Note that $B=AA^*$, we have $\det((\lambda I_{2m} - \chi_B)^T) = \det(\lambda I_{2m} - \chi_B) = \det((\lambda I_{2m} - \chi_B)^*)$,

$$\text{Thus } \det(\lambda I_{2m} - \chi_B) = \det(\overline{\lambda I_{2m} - \chi_B}).$$

$$\text{Therefore, } \det(\lambda I_{2m} - \chi_B) = f_B(\lambda) \in (R[x])[\lambda].$$

Next we show that $f_B(\lambda) = g(\lambda)^2$ where $g(\lambda) \in (C[x])[\lambda]$.

Let $B = P + Qj$. For any fixed $1 \leq i, j \leq m$,

We have $B_{ij} = a + bi + cj + dk$, where a, b, c and $d \in R[x]$.

Since B is hermitian, $B_{ji} = a - bi - cj - dk$ and therefore $P_{ij} = a + bi, P_{ji} = a - bi$ and $Q_{ij} = c + di, Q_{ji} = c - di$.

So $P^T = \bar{P}$ and $Q = -Q^T$.

$$\text{Therefore, } \chi_B = \begin{pmatrix} P & Q \\ -\bar{Q} & \bar{P} \end{pmatrix} = \begin{pmatrix} P & P \\ -\bar{Q} & P^T \end{pmatrix} \Rightarrow \lambda I_{2m} - \chi_B = \begin{pmatrix} \lambda I_m - P & Q \\ -\bar{Q} & \lambda I_m - P^T \end{pmatrix}.$$

$$\text{Next, we have } \begin{pmatrix} I_m & -I_m \\ 0 & I_m \end{pmatrix} \begin{pmatrix} I_m & 0 \\ I_m & I_m \end{pmatrix} \begin{pmatrix} I_m & -I_m \\ 0 & I_m \end{pmatrix} \begin{pmatrix} \lambda I_m - P & Q \\ -\bar{Q} & \lambda I_m - P^T \end{pmatrix} = \begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}.$$

$$\text{Therefore, } f_B(\lambda) = \det \begin{pmatrix} \lambda I_m - P & Q \\ -\bar{Q} & \lambda I_m - P^T \end{pmatrix} = \det \begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}$$

Note that, $\begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}^T = -\begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}$ which implies that $\begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}$ is skew symmetric.

By [9], the determinant of $\begin{pmatrix} \bar{Q} & P^T - \lambda I_m \\ \lambda I_m - P & Q \end{pmatrix}$ also called its Pfaffian, can be written as the square of a polynomial in its entries.

Therefore, $f_B(\lambda) = g(\lambda)^2$, where $g(\lambda) \in (C[x])[\lambda]$.

Finally, we show that $g(\lambda) \in (R[x])[\lambda]$.

Suppose, otherwise, then $g(\lambda) = a(\lambda) + b(\lambda)i$, where $a(\lambda)$ and $b(\lambda) \in (R[x])[\lambda]$ with $b(\lambda) \neq 0$.

By (1), $g(\lambda)^2 = a(\lambda)^2 - b(\lambda)^2 + 2a(\lambda)b(\lambda)i \in (R[x])[\lambda]$.

Thus $a(\lambda) = 0$ and $f_B(\lambda) = (b(\lambda)i)^2 = b(\lambda)^2$, where $b(\lambda) \in (R[x])[\lambda]$.

For a fixed $x \in R$, Let $\lambda' I_{2m} - \chi_B \in H^{2m \times 2m}$ is diagonally dominant with non-negative diagonal entries and that $(b(x))(\lambda') \neq 0$.

Since, $\lambda' I_{2m} - \chi_B$ is also hermitian, $\lambda' I_{2m} - \chi_B$ is positive definite [10]. But $\det(\lambda' I_{2m} - \chi_B) = -(b(x))(\lambda')^2 < 0$, a contradiction. Therefore, $b=0$ and thus $f_B(\lambda) = g(\lambda)^2$ where $g(\lambda) \in (R[x])[\lambda]$.

Lemma: 3.11 Let $A \in H[x]^{m \times n}, B=AA^*$ and $f_B(\lambda) = g(\lambda)^2$. Then $g(B)=0$. We will call $g(\lambda)$ the generalized characteristic polynomial of A .

Proof: Note that $g(\lambda) \in (R[x])[\lambda]$, by Theorem 3.10.

Then $\chi_g(B) = g(\chi_B)$. Next $f_B(\chi_B) = 0$ by the Cayley Hamilton theorem for complex polynomial matrices [9].

Therefore, $g(\chi_B)=0$, and $0 = g(\chi_B) = \chi_g(B)$, that is $g(B)=0$.

Lemma: 3.12 Let $A \in H[x]^{m \times n}$ has the Moore Penrose inverse A^\dagger . Set $B=AA^*$. Then

- (i) $B^\dagger = (A^*)^\dagger A^\dagger$ and $B^\dagger B = AA^\dagger$
- (ii) $B^\dagger B = BB^\dagger$ and $(B^\dagger B)^2 = B^\dagger B$
- (iii) $(B^\dagger)^k = (B^k)^\dagger$ and $(B^{n-k})^\dagger (B^{n-k}) = B^\dagger B$ for any $k \in \mathbb{N}$

Lemma: 3.13 Let $A \in H[x]^{m \times n}$, $B \in H[x]^{p \times q}$ and $A \in H[x]^{m \times q}$. If A^\dagger, B^\dagger both exists, then the quaternion polynomial matrix equation $AXB = C$ has a solution in $H[x]^{n \times p}$ if and only if $AA^\dagger \subset B^\dagger B = C$, in which case the general solution is $X = A^\dagger \subset B^\dagger + Y - A^\dagger A Y B B^\dagger$, where $Y \in H[x]^{n \times p}$ is arbitrary.

Lemma: 3.14 Let $A \in H[x]^{m \times n}$ has the Moore Penrose inverse A^\dagger and $B = AA^*$. Suppose the generalized characteristic polynomial of A is: $g(\lambda) = \lambda^m + a_1 \lambda^{m-1} + \dots + a_k \lambda^{m-k} + \dots + a_{m-1} \lambda + a_m$, where $a_i \in R[x]$. If k is the largest number such that $a_k \neq 0$, then the generalized inverse of A is given by $A^\dagger = -\frac{1}{a_k} A^* [B^{k-1} + a_1 B^{k-2} + \dots + a_{k-1} I]$. If $a_i = 0$, for all $1 \leq i \leq m$, then $A^\dagger = 0$.

Lemma: 3.15 Let $A \in H[x]^{m \times n}$ has the Moore Penrose inverse A^\dagger and Set $B = AA^*$. Then for $1 \leq k \leq m$, $\text{tr}[B^k + a_1 B^{k-1} + \dots + a_{k-1} B] = -ka_k$, where the a_i arise from the generalized characteristic polynomial of A :
 $g(\lambda) = \lambda^m + a_1 \lambda^{m-1} + \dots + a_k \lambda^{m-k} + \dots + a_{m-1} \lambda + a_m$

Proof: Let $Y = yI$ where $y \in R$. We can write,

$$g(Y) = g(Y) - g(B) \\ = (Y-B)[Y^{m-1} + (B + a_1 I)Y^{m-2} + \dots + (B^{m-1} + a_1 B^{m-2} + \dots + a_m I)].$$

As long as y is not an eigen value of B , $(yI-B) = Y-B$ is non-singular, so we can write:

$$(Y-B)^{-1} g(Y) = [Y^{m-1} + (B + a_1 I)Y^{m-2} + (B^2 + a_1 B + a_2 I)Y^{m-3} \dots + B^{m-1} + a_1 B^{m-2} + \dots + a_m I].$$

Taking the traces gives:

$$\text{tr}[(Y-B)^{-1} g(Y)] = mY^{m-1} + \text{tr}(B + a_1 I)Y^{m-2} + \text{tr}(B^2 + a_1 B + a_2 I)Y^{m-3} + \dots + \text{tr}(B^{m-1} + a_1 B^{m-2} + \dots + a_m I)].$$

Let $C = (Y-B)^{-1} g(Y)$. Since $g(Y) = g(yI) = g(y)I$, $C = g(y) (Y-B)^{-1}$.

Therefore, $\text{tr } C = g(y) \text{tr}[(Y-B)^{-1}]$.

Let $\lambda_1, \dots, \lambda_{m'}'$ where $m' \leq m$, be all the non zero eigen values of B . $\text{tr}[(Y-B)^{-1}]$ is the sum of the eigen value of $[(Y-B)^{-1}]$.

We will show that these eigen values are the fractions $\frac{1}{y-\lambda_1}, \dots, \frac{1}{y-\lambda_{m'}'}$

Let ζ be an eigen value of $(Y-B)^{-1}$ with corresponding eigen vector z such that: $(Y-B)^{-1}Z = Z\zeta$, ζ is real (by Lemma 3.7) and hence $(Y-B)Z = Z\frac{1}{\zeta} \Rightarrow BZ = Z\left(Y - \frac{1}{\zeta}\right)$.

Therefore, $Y = \frac{1}{\zeta} = \lambda_i \Rightarrow \zeta = \frac{1}{y-\lambda_i}$ for some $1 \leq i \leq m'$.

Since $g(y) = (y-\lambda_1)(y-\lambda_2) \dots (y-\lambda_{m'}')$. We have that $g'(y) = g(y) \left(\frac{1}{y-\lambda_1} + \dots + \frac{1}{y-\lambda_{m'}'} \right)$ and $\text{tr } C = g'(y)$. The derivative of g is also equal to $g'(y) = mY^{m-1} + a_1(m-1)Y^{m-2} + \dots + a_{m-1}$.

Therefore,

$$mY^{m-1} + a_1(m-1)Y^{m-2} + \dots + a_{m-1} = mY^{m-1} + \text{tr}(B + a_1 I)Y^{m-2} + \dots + \text{tr}(B^{m-1} + a_1 B^{m-2} + \dots + a_m I).$$

Comparing the co-efficient of Y^{m-k-1} on both sides, we obtain

$$a_k(m-k) = \text{tr} \left(B^k + a_1 B^{k-1} + a_2 B^{k-2} + \dots + a_{k-1} B + a_k I \right) \\ = \text{tr} \left(B^k + a_1 B^{k-1} + a_2 B^{k-2} + \dots + a_{k-1} B \right) + \text{tr}(a_k I)$$

And then $-ka_k = \text{tr} \left(B^k + a_1 B^{k-1} + a_2 B^{k-2} + \dots + a_{k-1} B \right)$.

Lemma: 3.16 Let $A \in H[x]^{m \times n}$ has the Moore Penrose inverse A^\dagger and $B = AA^*$. Suppose the generalized characteristic polynomial of A :

$g(\lambda) = \lambda^m + a_1\lambda^{m-1} + \dots + a_k\lambda^{m-k} + \dots + a_{m-1}\lambda + a_m$, where $a_m \in R[x]$.

Define, $a_0 = 1$. If P is the largest integer such that $a_p \neq 0$ and we construct the sequence A_0, \dots, A_p as follows:

$$\begin{array}{lll} A_0 = 0 & -1 = q_0 & B_0 = I \\ A_1 = AA^*B & \frac{\text{tr } A_1}{1} = q_1 & B_1 = A_1 - q_1 I \\ \vdots & \vdots & \vdots \\ A_{p-1} = AA^*B_{p-2} & \frac{\text{tr } A_{p-1}}{p-1} = q_{p-1} & B_{p-1} = A_{p-1} - q_{p-1} I \\ A_p = AA^*B_{p-1} & \frac{\text{tr } A_p}{p} = q_p & B_p = A_p - q_p I \end{array}$$

Then $q_i(x) = -a_i(x)$, $i = 0, \dots, P$.

Proof: We will show $q_i(x) = -a_i(x)$, $i = 0, \dots, P$ by mathematical induction. By the definition clearly, $q_0 = -a_0$ holds.

Now we assume that $q_i(x) = -a_i(x)$ holds for all $1 \leq i \leq k-1$. Then

$$\begin{aligned} A_k &= AA^*B_{k-1} \\ &= BB_{k-1} \\ &= B(A_{k-1} - q_{k-1}I) \\ &= B((B(A_{k-2} - q_{k-2}I) - q_{k-1}I)) \\ &\vdots \\ &= B^k + q_1B^{k-1} + q_2B^{k-2} + \dots + q_{k-1}B \\ &= B^k + a_1B^{k-1} + a_2B^{k-2} + \dots + a_{k-1}B \end{aligned}$$

And thus $\text{tr}(A_k) = \text{tr}(B^k + a_1B^{k-1} + a_2B^{k-2} + \dots + a_{k-1}B)$,

Which by Lemma 3.15 is equal to $-ka_k$. So, $q_k = \frac{\text{Tr}(A_k)}{k} = -a_k$.

Therefore, $q_i(x) = -a_i(x)$ for all $p \geq i \geq 0$.

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