

A NOTE ON INVARIANT SUBSPACES OF SOME OPERATORS IN HILBERT SPACE

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ABSTRACT

In this paper, we show that if M is a nontrivial invariant for both T and S , then M is ST – invariant or TS – invariant. An example is provided to illustrate that if M is TS – invariant, then it is not necessarily invariant for either T and S . However if TS, S and T have same structure and M is invariant for TS , then it is also invariant for T and S .

Keywords: Invariant subspaces, Nilpotent operators.

1. INTRODUCTION

The invariant subspaces of an operator plays a central role in operator theory as they give information on the structure of the operator. They are a direct analogue of the eigen-vectors of a linear operator. The motivation behind the study of invariant subspaces come from the interest of structure of operators and from approximation theory. Let H be a Hilbert space and $B(H)$ denotes all bounded linear operators on H . A subspace M of H is a invariant under operator T if $T(M) \subseteq M$, that is, $x \in M$ for every $Tx \in M$ or $TM \subset M$. If T is any subset of $B(H)$, we denote by $\{T\}'$ the commutant of T , that is $\{T\}' = \{T \in B(H) : ST = TS\}$.

A subspace $M \subset H$ is said to be nontrivial hyper-invariant subspace (n.h.s) for a fixed operator in $T \in B(H)$ if $0 \neq M \neq H$ and $SM \subset M$ for each $S \in \{T\}'$. An operator $T \in B(H)$ is nilpotent if $T^n = 0$.

Theorem 1.1: If $T \in B(H)$, then the following subspaces are invariant under T :

- (i) $\{0\}$.
- (ii) H .
- (iii) $\text{Ker}(T)$
- (iv) $\text{Ran}(T)$

Proof:

- (i) If $x \in \{0\}$, then $x = 0$ hence $Tx = 0 \in \{0\}$. Thus $\{0\}$ is invariant under T .
- (ii) If $x \in H$, then $Tx \in H$ since T on Hilbert space H is bounded, then it is bounded below and hence its range is closed. Thus H is invariant under T .
- (iii) If $x \in \text{Ker}(T)$, then $Tx = 0$ and hence $Tx \in \text{Ker}(T)$. Thus $\text{Ker}(T)$ is invariant under T .
- (iv) Note that, since the operators T on a Hilbert space H is bounded below and hence its range is closed subspace of H . Thus $T(\text{Ran}(T))$ is contained in $\text{Ran}(T)$. Let $x \in \text{Ran}(T)$, then $Tx \in \text{Ran}(T)$. Thus $\text{Ran}(T)$ is invariant under T .

Lemma 1.2: Let $U_1, U_2 \subset H$ be invariant subspaces. Then $U_1 \cap U_2$ and $U_1 + U_2$ are invariant subspaces.

Proof: Suppose U_1 and U_2 are both under T , and $u \in U_1 \cap U_2$. Since U_1 is invariant under T , then $T(u) \in U_1$.

Similarly, since U_2 is invariant under T , then $T(u) \in U_2$ and so

$$T(u) \in U_1 \cap U_2. \text{ Thus } U_1 \cap U_2 \text{ is invariant under } T.$$

Suppose $u \in U_1 + U_2$. Then $u = u_1 + u_2$ where $u_i \in U_i$ for $i = 1, 2$. Applying the linear operator on both sides of the equation we have

$$T(u) = T(u_1 + u_2) = T(u_1) + T(u_2).$$

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Because U_1, U_2 are all invariant subspace under T , and since $u_i \in U_i$ we have $T(u_i) \in U_i$

For $i = 1, 2$. Hence $T(u)$ is contained in $U_1 + U_2$ and therefore $U_1 + U_2$ is invariant under T .

Proposition 1.3: Let T and L be nonzero on a Hilbert space H . If $LT = 0$, then $\text{Ker}(L)$ and $\text{Ran}(T)$ are nontrivial invariant subspaces for both T and L .

Proof: If $LT=0$, then $\text{Ran}(T) \subseteq \text{Ker}(L)$. Hence $T(\text{Ker}(L)) \subseteq T(H) = \text{Ran}(T) \subseteq \text{Ker}(L)$.

Since $T \neq 0$, $\text{Ran}(T) \neq 0$, so that $\text{Ker}(L) \neq 0$. Since $L \neq 0$, $\text{Ker}(L) \neq H$. Therefore $\text{Ker}(L)$ is nontrivial invariant subspace for T . Dually since $T^*L^*=0$, $L^* \neq 0$ it follows that $\text{Ker}(T^*)^\perp$ is nontrivial invariant subspace for L^* , and hence $\text{Ran}(T) = \text{Ker}(T^*)^\perp$ is a nontrivial invariant subspace for L .

Remark 1.1: $\text{Ker}(L)$ and $\overline{\text{Ran}(T)}$ are invariant subspaces for L and T .

Proposition 1.3 leads to the following result.

Corollary 1.1: Every nilpotent operator has a nontrivial invariant subspace.

Proof: Recall that, an operator is nilpotent if $T^n = 0$. Thus $T^n = T(T^{n-1})$ which can be written as a product of two operators and by Proposition 1.3 $\text{Ker}(T)$ and $\overline{\text{Ran}(T^{n-1})}$ are nontrivial invariant subspaces.

Proposition 1.4: Let $T \in B(H)$ and M be subspace of a Hilbert space H . If M is T -invariant, Then $(T|_M)^* = PT^*|_M$ where P is the orthogonal projection of H onto M .

Proof: Let M be an invariant subspace for T so that $T(M) \subseteq M$, and let P be the orthogonal projection onto M .

Since $Pv = v$ for every $v \in M$ and using the fact that P is self-adjoint, we have $\langle (T|_M)^*u, v \rangle = \langle u, T|_M v \rangle = \langle u, Tv \rangle = \langle u, TPv \rangle = \langle PT^*u, v \rangle = \langle PT^*|_M u, v \rangle$ for every $u, v \in M$, hence $(T|_M)^* = PT^*|_M$.

Proposition 1.5: Let $T, S \in B(H)$ and M be a nontrivial invariant subspace for both T and S . Then M is TS -invariant.

Proof: If M is invariant for both T and S then we have $T(M) \subseteq M$ and $S(M) \subseteq M$.

Thus we have $TSM = T(SM) \subseteq T(M) \subseteq M$. Therefore M is TS -invariant.

Proposition 1.6: Let $T, S \in B(H)$ and M be a nontrivial invariant subspace for both T and S . Then M is ST -invariant

Proof: If M is invariant for both T and S , then we have $T(M) \subseteq M$ and $S(M) \subseteq M$.

Thus we have $STM = S(TM) \subseteq S(M) \subseteq M$. Therefore M is ST -invariant.

Question: If M is TS -invariant, is it true that M is T -invariant or S -invariant?

Answer: We answer this question with the following example.

Let $TS = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$. We observe that $\text{Lat}(TS) = \left\{ \{0\}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \mathbb{R}^2 \right\}$. However TS can be written, not uniquely, as a product of $T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ and $S = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$. We notice that $M = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}$ is invariant for TS but it is not invariant for T and S . This leads to the following remarks:

Remark 1.2: Let M be subspace of a Hilbert space H and $T, S \in B(H)$. If M is TS -invariant, then M is not necessarily T - or S -invariant.

However if TS, T and S have the same structure, then if M is TS -invariant the M is also invariant for both T and S .

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